

ATTORNEY BIOGRAPHY



Jeffrey E. Swaim

Partner

Tel: 508.929.1607 | **Fax:** 508.207.9343 | **Email:** jswaim@mirickoconnell.com

Worcester Office: 100 Front Street | Worcester | MA | 01608-1477

Legal Administrative Assistant

Kim E. Keating

Tel: 508.860.1591 | Email: kkeating@mirickoconnell.com

Practice Groups and Specialty Areas

Business

Financing

Mergers and Acquisitions

Securities and Venture Capital

Licensing and Technology Transfer

Health Law

Jeff is a partner and chair of the firm's Business Group. He has extensive experience practicing in the areas of mergers and acquisitions, equity financing, securities regulation, contract drafting and negotiation, business formation, corporate governance, and general corporate and business matters. He has worked with clients in a wide range of industries, including manufacturing, plastics, medical devices, health services, life sciences, publishing, telecommunications, internet services, software, high-technology, financial services, and the media. Jeff's clients range in size from small start-up ventures to large multi-national public companies.

Representative Matters

- Represented a client in connection with roll-up of eight teleconferencing companies involving a \$75 million bond offering and follow-on IPO
- Represented a technology company in connection with a \$6 million venture capital financing
- Represented a large public data storage company in connection with the negotiation of multi-million dollar component supply agreements
- Represented numerous clients in various industries with business or acquisitions and divestitures
- Represent start-up companies in connection with business formation and equity financing
- Served as general legal counsel to companies in a variety of industries
- Drafted and negotiated a wide range of corporate and commercial agreements

Publications/Presentations

- [“CTA Enforcement Suspended for US Citizens and Domestic Entities,”](#) Mirick O’Connell Client Alert, March 6, 2025
- [“Corporate Transparency Act's Beneficial Ownership Reporting is Back,”](#) Mirick O’Connell Client Alert, February 21, 2025
- [“CTA Update: Beneficial Ownership Filing Requirements Remain Voluntary, Despite Supreme Court Decision,”](#) Mirick O’Connell Client Alert, January 30, 2025
- [“CTA UPDATE: Fifth Circuit Temporarily Reinstates Nationwide Preliminary Injunction on Corporate Transparency Act Enforcement,”](#) Mirick O’Connell Client Alert, December 30, 2024
- [“UPDATE: FinCEN's Response to U.S. District Court Decision - Notice of Appeal Filed,”](#) Mirick O’Connell Client Alert, December 11, 2024
- [“BREAKING NEWS: Corporate Transparency Act Enforcement Enjoined, including Beneficial Ownership Reporting Requirements,”](#) Mirick O’Connell Client Alert, December 4, 2024

Education

JD, Boston University School of Law (1994)

BA, Duke University (1988)

Bar and Court Admissions

Massachusetts

Professional/Community Affiliations

American Bar Association

Massachusetts Bar Association

American Red Cross, Central Massachusetts Chapter, board member

ATTORNEY BIOGRAPHY – JEFFREY E. SWAIM

Publications/Presentations (continued)

- “[A new beneficial ownership reporting requirement commences on January 1, 2024](#),” Mirick O’Connell Client Alert, December 13, 2023
- “[Corporate Transparency Act](#),” *On Air with Mirick O’Connell* legal podcast (December 2023)
- “[Preparing for the Corporate Transparency Act Equity Ownership Disclosure Rules](#),” Mirick O’Connell Client Alert, August 8, 2022
- “[New PPP Flexibility Act Extends Forgiveness Period and Maturity Date Among other Benefits](#),” Mirick O’Connell Client Alert, June 9, 2020
- “[Good News for Many PPP Loan Recipients: Treasury Department Issues New Guidance on "Necessity" Certification for PPP Loan Applicants that Should Ease Concerns](#),” Mirick O’Connell Client Alert, May 14, 2020
- “PPP Return Deadline Extended to May 14th,” Mirick O’Connell Client Alert, May 7, 2020
- “[COVID-19 and Business Interruption Insurance](#),” Mirick O’Connell Client Alert, April 6, 2020
- “[The New SBA Payment Protection Program Loan For Small Businesses – a Q & A](#),” Mirick O’Connell Client Alert, April 1, 2020
- “Hot Topics in Healthcare Compliance,” Mirick O’Connell Health Law Seminar (November 7, 2012)
- “Legal Issues for Start-ups,” Small Business Development Center, Center for Women and Enterprise, Worcester Polytechnic Institute CEO East Business Conference
- “LLCs Demystified,” Massachusetts Continuing Legal Education
- “LLCs: Advising Small Businesses, Start-ups and Larger Companies,” Lorman Seminar
- “Basic Tax Issues for the Business Lawyer,” Massachusetts Continuing Legal Education
- “Non-Competes: Getting Them Right the First Time,” New England Business Brokers Association